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3713

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) of 1.97(c))

Docket No.

114066-004

In Re Application Of: Jarvis et al.

Serial No.

10/002,553

Filing Date

November 23, 2001

Examiner

B. Nguyen

Group Art Unit

3713

Title: **FINANCIAL TRADING GAME**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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FINANCIAL TRADING GAME

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of _____
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Signature

Dated: February 2, 2004

Adam H. Masia

Reg. No. 35,602

Bell, Boyd & Lloyd, LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

(312) 807-4284

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eugene Jarvis et al.
Appl. No.: 10/002,553
Conf. No.: 3797
Filed: November 23, 2001
Title: FINANCIAL TRADING GAME
Art Unit: 3713
Examiner: B. Nguyen
Docket No.: 0114066-004

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Commissioner for Patents
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent. This is being submitted in response to the Examiner's request for resubmission.

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<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
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3,770,277	November 6, 1973	Cass
4,363,489	December 14, 1982	Chodak et al.
4,448,419	May 15, 1984	Telnaes
4,582,324	April 15, 1986	Koza et al.
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By George written by IGT, published in 2002.

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Double Up Poker Game Description written by IGT Undated

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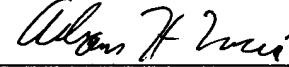
X Factor Advertisement and Website Page written by WMS Gaming, Inc., published in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



Adam H. Masia

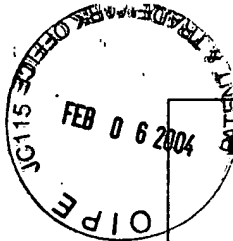
Reg. No.35,602

P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4284

Date: February 2, 2004

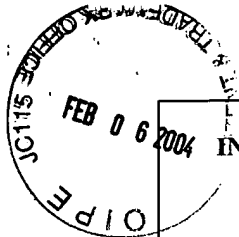


INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 0114066-004	Application No. 10/002,553
	Applicant Jarvis et al.	
	Filing Date November 23, 2001	Group 3713

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	3,559,993	February 2, 1971	Thomas			
	3,770,277	November 6, 1973	Cass			
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	4,624,459	November 25, 1986	Kaufman			
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	5,560,603	October 1, 1996	Seelig et al.			
	5,611,535	March 18, 1987	Tiberio			
	5,711,525	January 27, 1998	Breeding			

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*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

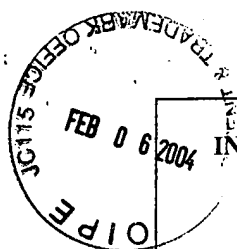


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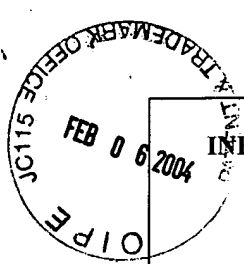
**INFORMATION DISCLOSURE CITATION
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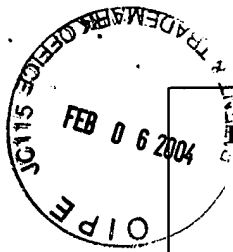
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	6,305,686 B1	October 23, 2001	Perrie et al.			
	6,309,300 B1	October 30, 2001	Glavich			
	6,328,649 B1	December 11, 2001	Randall et al.			
	6,375,187 B1	April 23, 2002	Baerlocher			

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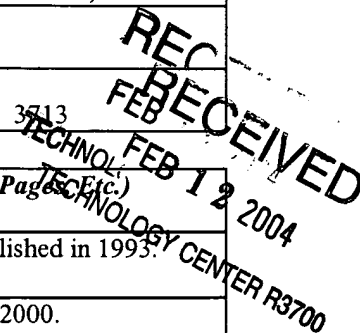
FOREIGN PATENT DOCUMENTS							
Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 9732285	September 4, 1997	PCT				
	WO 00/12186	March 9, 2000	PCT				
	EP 0874337 A1	October 28, 1998	Europe				
	EP 0926645 A2	June 30, 1999	Europe				
	EP 0944030 A2	September 22, 1999	Europe				
	EP 0945837 A2	September 29, 1999	Europe				
	EP 0981119 A2	February 23, 2000	Europe				
	EP 0984408 A2	March 8, 2000	Europe				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Addams Family Advertisement and Article written by IGT, Strictly Slots, published in 2000.
	Adders and Ladders Advertisement written by Barcrest Ltd., published prior to 2000.
	American Thunder Screen Shots written by IGT, published in 1998.
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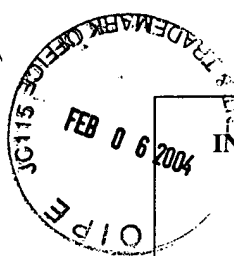


INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 0114066-004	Application No. 10/002,553
	Applicant Jarvis et al.	
	Filing Date November 23, 2001	Group 3513



Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
	Blackjack/Twenty-One Description written by Hoyle's Rules of Games, published in 1993.
	Bonus Spin Red, White & Blue Advertisement written by IGT, published in 2000.
	Bonus Times Article written by Strictly Slots, published in 2000.
	By George written by IGT, published in 2002.
	Caribbean Gold II Advertisement written by Aristocrat Incorporated, published in 1998.
	Cash Box Advertisement & Article written by Anchor Games, Strictly Slots, published in 2000.
	Chutes & Ladders Game Instructions written by Hasbro-Milton Bradley, published in 1999.
	Description of Let's Make a Deal Television Show written by letsmakeadeal.com (2 pages), printed on 03/16/01
	Double Diamond Game Descriptions written by IGT printed on March 21, 2001.
	Double Up Poker Game Description written by IGT Undated
	Easy Street Advertisements and Articles written by Casino Data Systems, published in 2000.
	Elvis Advertisement written by IGT, published in 1999.
	Empire Game Advertisement written by AC Coin, published in 1996.
	Fire and Fortune Article written by Strictly Slots, published in 2001.
	Fox "N" Hound Advertisement written by IGT, published in 2000.
	In Between Game Description written by IGT, available prior to 2000.
	Jackpot Party Advertisements and Articles written by WMS Gaming, Inc., published in 1998.
	Keep Your Hat On Advertisement written by Aristocrat, published in 2001.
	Let's Make a Deal written by geocities.com (10 pages), printed on 03/21/01:
	Let's Make a Deal written by fortunecity.com (4 pages), printed on 03/21/01.
	Let's Make a Deal written by Illinoislottery.com (1 page), printed on 03/21/01.

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

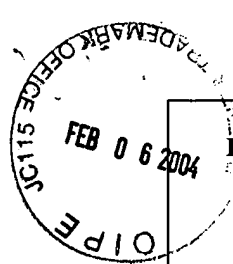


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Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Let's Make a Deal geocities.com (2 pages), printed on 03/16/01.
	Let's Make A Deal Advertisement written by Shuffle Master and IGT, published in 2001.
	Let's Make a Deal Game Advertisement written by Bally Gaming Systems, published in 1999.
	Little Green Men Advertisement and Article written by IGT, Strictly Slots, published in 2000.
	MegaJackpots Advertisement written by IGT, published in 1998.
	Money Grab Article written by Strictly Slots, published in April 2001.
	Money in the Bank Advertisement written by Strictly Slots Konami, published in 2001.
	Monopoly Advertisements and Articles written by WMS Gaming, Inc., Strictly Slots, published in 1998, 1999, 2000.
	Monopoly Party Train Article written by Strictly Slots, published in 2002.
	Neon Nights written by IGT, published in 2000.
	On the Money Article written by Strictly Slots, Casino Data Systems, published in December 2000.
	Polly & Roger Advertisement written by VLC, Inc., published in 2000.
	Price is Right "Cliff Hangers" Description written by www.geocities.com; members.aol.com (web site), printed 3/21/01.
	Price is Right "Showcases" Description written by schuminweb.com (web site), printed 3/16/01.
	Psycho Cash Beast Club (including knockouts) written by Barcrest, published prior to 1998.
	Richard Petty Advertisement written by IGT, published in 2000.
	South Park – Dodgeball Advertisement written by IGT, published in 2000.
	Spell Binder Advertisement written by IGT, published in 2000.
	Sphinx Advertisement written by Atronic Casino Technology, Ltd., published in 1997.
	Take Your Pick Article written by Strictly Slots, published in March 2001.

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Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Take Your Pick Advertisement written by IGT/Anchor Gaming, published in 1999.
	Texas Tea Advertisement written by IGT, published in 2000.
	The Deals of Let's Make a Deal written by fortunecity.com (2 pages), printed on 03/16/01.
	The Official Let's Make a Deal Website written by Bally Gaming System Website, printed on 03/16/01.
	Top Cat Advertisement written by WMS Gaming, Inc., published prior to 2000.
	Totem Pole Advertisement written by IGT, published in 1997.
	Treasure Wheel/Treasure Tunnel Advertisement written by Sigma Game, Inc., published prior to 2000.
	Wheel of Fortune Advertisement written by IGT, published in 1998.
	Wheel of Fortune Advertisement written by IGT, published in 1999.
	Wheel Poker Article written by Strictly Slots (Anchor Games), published in November 2000.
	Winning Streak Web Site Description written by WMS Gaming Inc. (web site), printed on 3/21/01.
	X Factor Advertisement and Website Page written by WMS Gaming, Inc., published in 1998.

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